

SW INVESTMENTS LIMITED

(Formerly known as Sunteck Wealthmax Investments Limited)
5th Floor, Sunteck Centre, 37-40, Subhash Road, Vile Parle (East) Mumbai 400057
CIN NO.:L65990MH1980PLC023333

SWX/BSE/ 17 /14-15

Date: 8th July, 2014

To
Corporate Relation Department
BSE Limited
P. J. Tower, Dalal Street,
Mumbai – 400 001

Script Code: 503659

Sub: Submission of Quarterly Compliances for the Quarter ended on 30th June, 2014

Sir,

Please find attached herewith following Quarterly Compliances of the Company for the quarter ended on 30th June, 2014:

1. Corporate Governance Report
2. Reconciliation of Share Capital Audit Report
3. Shareholding Pattern of the Company

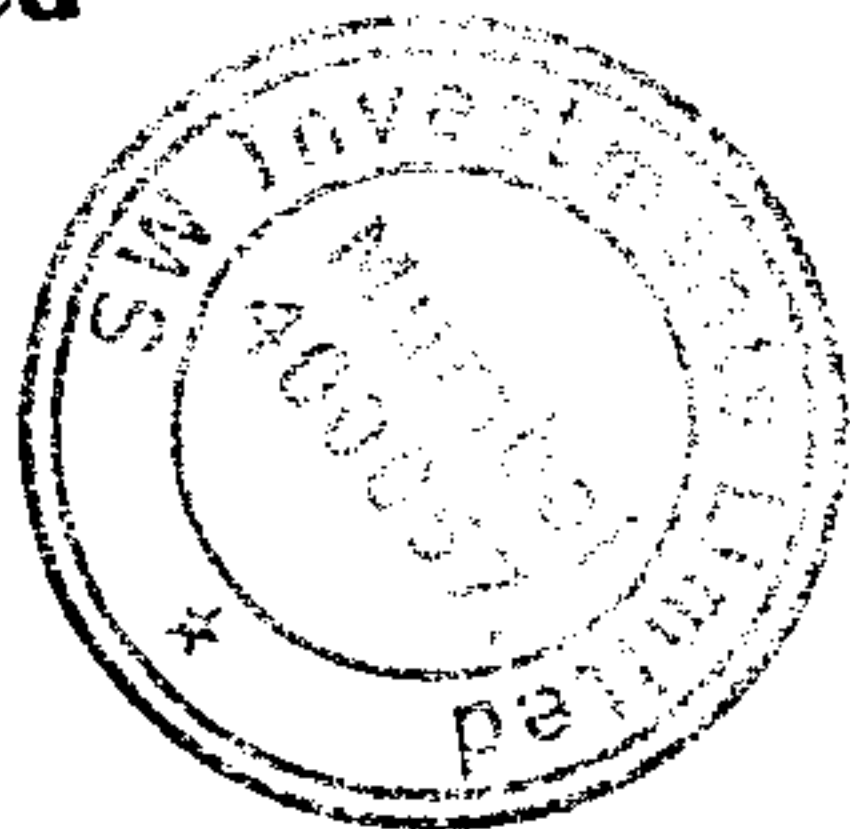
Request you to take the same on record and acknowledge the receipt of the same.

Thanking You,
Yours Faithfully

For SW Investments Limited



Compliance Officer
Encl. As stated above



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Quarter Ended: 30th June, 2014

Particulars	Clause of Listing agreement	Compliance Status Yes/No	Remarks
I. Board of Directors	49 I		
(A) Composition of Board	49(IA)	YES	
(B) Non-executive Directors' compensation & disclosures	49 (IB)	YES	
(C) Other provisions as to Board and Committees	49 (IC)	YES	
D) Code of Conduct	(49 (ID)	YES	
II. Audit Committee	49 (II)		
(A) Qualified & Independent Audit Committee	49 (IIA)	YES	
(B) Meeting of Audit Committee	49(IIB)	YES	
(C) Powers of Audit Committee	49 (IIC)	YES	
(D) Role of Audit Committee	49 II(D)	YES	
(E) Review of Information by Audit Committee	49 (IIE)	YES	
III. Subsidiary Companies	49 (III)	N. A.	
IV. Disclosures	49 (IV)		
(A) Basis of related party transactions	49 (IV A)	YES	
(B) Disclosure of Accounting Treatment	49 (IV B)	YES	
(C) Board Disclosures	49 (IV C)	YES	
(D) Proceeds from public issues, rights issues, preferential issues etc.	49 (IV D)	N. A.	
(E) Remuneration of Directors	49 (IV E)	YES	
(F) Management	49 (IV F)	YES	
(G) Shareholders	49 (IV G)	YES	
V. CEO/CFO Certification	49 (V)	YES	
VI. Report on Corporate Governance	49 (VI)	YES	
VII. Compliance	49 (VII)	YES	

For SW Investments Limited



Compliance Officer
Date: 7th July, 2014

