

SW INVESTMENTS LIMITED

(Formerly known as Sunteck Wealthmax Investments Limited)

5th Floor, Sunteck Centre, 37-40 Subhash Road, Vile Parle (East) Mumbai 400057. CIN NO.: L65990MH1980PLC023333

Tel: +22 4287 7800 Fax : +22 4287 7890 Email Id : cosec@sunteckwealthindia.com. Website : sunteckwealthindia.com

SWX/BSE/10/14-15

Date: 10th October, 2014

To
Corporate Relation Department
BSE Limited
P. J. Tower, Dalal Street,
Mumbai – 400 001

Script Code: 503659

Sub: Submission of Quarterly Compliances for the Quarter ended on 30th September, 2014

Sir,

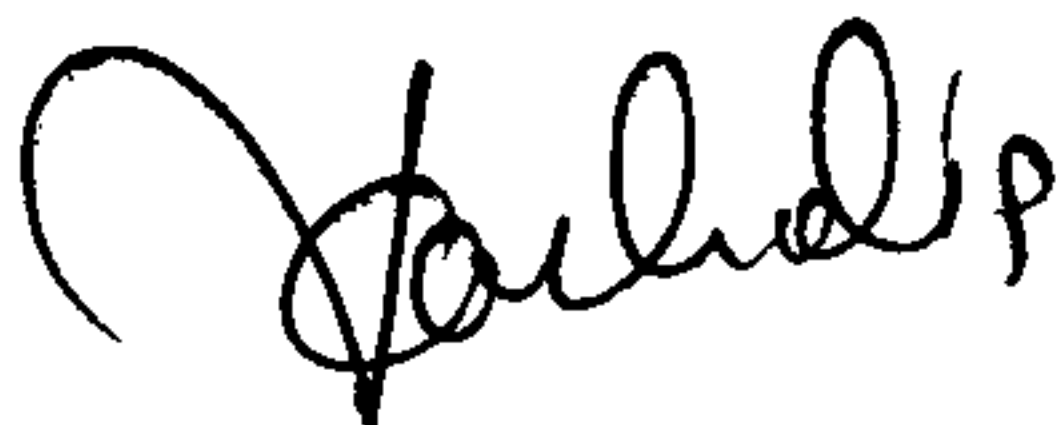
Please find attached herewith following Quarterly Compliances of the Company for the quarter ended on 30th September, 2014:

1. Corporate Governance Report;
2. Reconciliation of Share Capital Audit Report;
3. Shareholding Pattern of the Company;
4. Half Yearly Compliance Certificate in terms of Clause 47[c]

Request you to take the same on record and acknowledge the receipt of the same.

Thanking You,
Yours Faithfully

For SW Investments Limited



Compliance Officer
Encl. As stated above

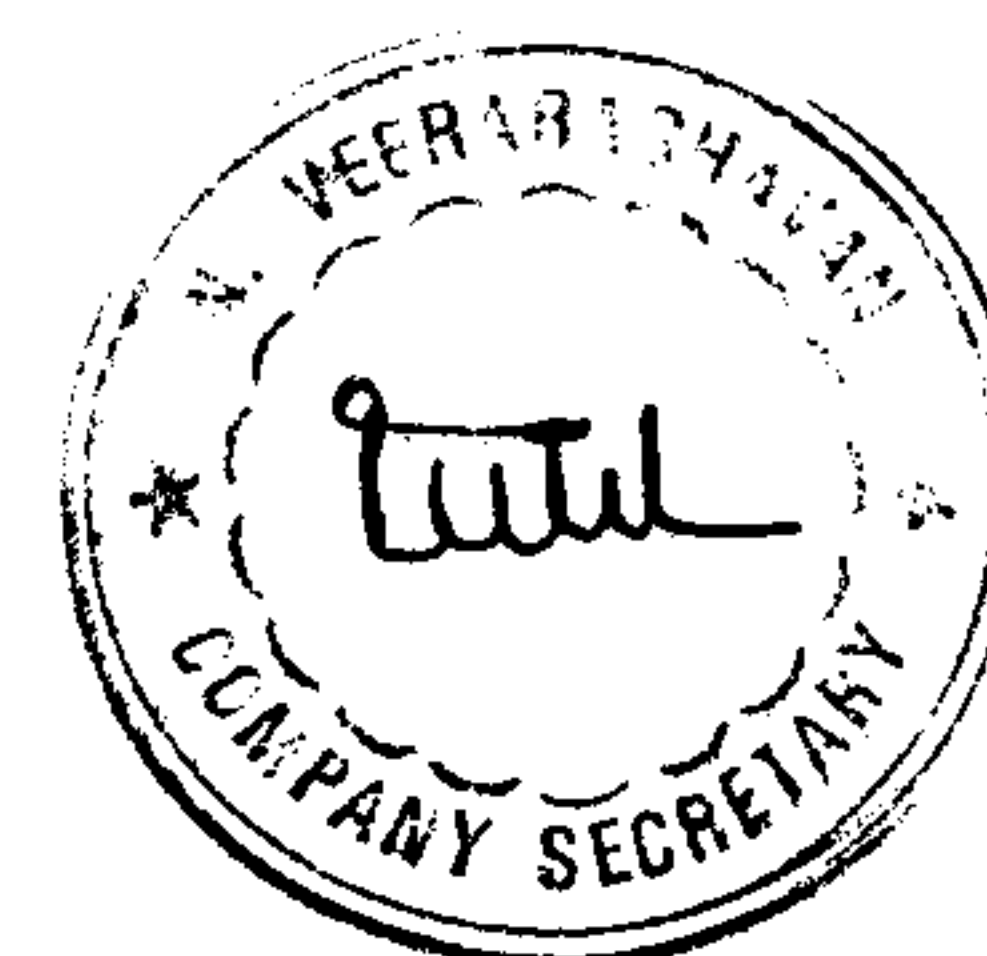


Veeraraghavan.N
Practicing Company Secretary

B – 7 & 8 Ground Floor
Satyam Commercial Complex,
M. G. Road, Ghatkopar East
Mumbai – 400077
Ph: 25017805 Mob: 9821528844
Email : nvr54@rediffmail.com

RECONCILIATION OF SHARE CAPITAL AUDIT REPORT

- | | |
|---|---|
| 1. For quarter ended | 30 th September, 2014 |
| 2. ISIN | INE948K01011 |
| 3. Face value | Rs. 10/- |
| 4. Name of the Company | SW Investments Limited
(Formerly known as Sunteck Wealthmax Investments Limited) |
| 5. Registered office address | 5th Floor, Sunteck Centre, 37-40 Subhash Road, Vile Parle (East)
Mumbai- 400057 |
| 6. Correspondence address | 5th Floor, Sunteck Centre, 37-40 Subhash Road, Vile Parle (East)
Mumbai- 400057 |
| 7. Telephone and fax nos. | Tel. No. 26267800
Fax No. 26267890 |
| 8. e-mail address | cosec@sunteckindia.com |
| 9. Name of the stock exchanges where the company's securities are listed | Bombay Stock Exchange |
| 10. Issued capital | 9,00,000 Equity Shares |
| 11. Listed capital (exchange wise)
(as per company records) | 9,00,000 Equity Shares |
| 12. Held in dematerialized form in CDSL | 410,359 Equity Shares |
| 13. Held in dematerialized form in NSDL | 440251 Equity Shares |
| 14. Physical | 49,390 Equity Shares |
| 15. Total no. of shares (12 + 13 +14) | 9,00,000 Equity Shares |
| 16. Reasons for difference if any
between (10 & 11) (10 & 15)
(11 & 15) | NA |



- | | |
|---|---|
| 17. Certifying the details of changes in share capital during the quarter under consideration: | NA |
| 18. Register of Members is updated?
If not, updated to which date | Yes |
| 19 Reference of previous quarter with regards to excess dematerialized shares, if any. | Nil |
| 20 Has the Company resolved the matter mentioned in point No. 19 above in the current quarter? If not, reason why? | NA |
| 21 Mention the total number of requests, if any, confirmed after 21 days and the total no. of requests pending beyond 21 days with the reasons for delay. | Nil |
| 22 Name, Telephone & Fax No. of the Compliance Officer of the Company | Ms. Vandana Kacholia
Tel. No. 42877800
Fax No. 42877890 |
| 23 Name, Address Tel & Fax. No. Registration No of the Auditor. | Veeraraghavan N.
B – 7 & 8 Ground Floor
Satyam Commercial Complex,
M. G. Road, Ghatkopar (East)
Mumbai – 400077
Tel No. 25017805
C.P.No. 4334 |
| 24 Appointment of Common Agency for share registry work. If yes Name and address | Link Intime India Private Limited
C-13 Pannalal Silk Mills Compound
L.B.S. Marg, Bhandup – (West)
Mumbai 400078 |
| 25 Any other detail that the auditor may like to provide. | Nil |

Date: 10th October, 2014

