

SW INVESTMENTS LIMITED

(Formerly known as Sunteck Wealthmax Investments Limited)

5th Floor, Sunteck Centre, 37-40 Subhash Road, Vile Parle (East) Mumbai 400057. CIN NO.: L65990MH1980PLC023333
Tel: +22 4287 7800 Fax : +22 4287 7890 Email Id : cosec@sunteckwealthindia.com. Website :sunteckwealthindia.com

Quarter Ended: 31st December, 2014

Particulars	Clause of Listing agreement	Compliance Status Yes/No	Remarks
II. Board of Directors	49 (II)		
(A) Composition of Board	49(IIA)	YES	
(B) Independent Directors	49(IIB)	YES	
(C) Non-executive Directors' compensation & disclosures	49 (IIC)	YES	
(D) Other provisions as to Board and Committees	49 (IID)	YES	
(E) Code of Conduct	49 (IIE)	N/A	
(F) Whistle Blower Policy	49(IIF)	N/A	
III. Audit Committee	49 (III)		
(A) Qualified & Independent Audit Committee	49 (IIIA)	YES	
(B) Meeting of Audit Committee	49(IIIB)	YES	
(C) Powers of Audit Committee	49 (IIIC)	YES	
(D) Role of Audit Committee	49 (IIID)	YES	
(E) Review of Information by Audit Committee	49 (IIIE)	YES	
IV. Nomination and Remuneration Committee	49 (IV)	N/A	
V. Subsidiary Companies	49 (V)	N/A	
VI. Risk Management	49 (VI)	N/A	
VII. Related Party Transactions	49 (VII)	N/A	
VIII. Disclosures	49 (IV)		
(A) Related party transactions	49 (VIII A)	YES	
(B) Disclosure of Accounting Treatment	49 (VIII B)	YES	
(C) Remuneration of Directors	49 (VIII C)	YES	
(D) Management	49 (VIII D)	YES	
(E) Shareholders	49 (VIII E)	YES	
(F) Proceeds from public issues, rights issue, preferential issues, etc	49 (VIII F)	N/A	
IX. CEO/CFO Certification	49 (IX)	YES (AT THE TIME OF AGM)	
X. Report on Corporate Governance	49 (X)	YES (AT THE TIME OF AGM)	
XI. Compliance	49 (XI)	YES (AT THE TIME OF AGM)	

For SW Investments Limited

Sahab
Compliance Officer

Date: 14th January, 2015

