

# SW INVESTMENTS LIMITED

5<sup>th</sup> Floor, Sunteck Centre, 37-40 Subhash Road, Vile Parle (East) Mumbai 400057. CIN NO.: L65990MH1980PLC023333  
Tel: +22 4287 7800 Fax : +22 4287 7890 Email Id : cosec@swlindia.com. Website : swlindia.com

---

SWIL/BSE/b2/15-16

Date: 14<sup>th</sup> July, 2015

To  
Corporate Relation Department  
BSE Limited  
P. J. Tower, Dalal Street,  
Mumbai – 400 001

Script Code: 503659

Sub: Submission of Quarterly Compliances for the Quarter ended on 30<sup>th</sup> June, 2015

Sir,

Please find attached herewith following Quarterly Compliances of the Company for the quarter ended on 30<sup>th</sup> June, 2015:

1. Corporate Governance Report;
2. Reconciliation of Share Capital Audit Report;
3. Shareholding Pattern of the Company

Request you to take the same on record and acknowledge the receipt of the same.

Thanking You,  
Yours Faithfully

For SW Investments Limited



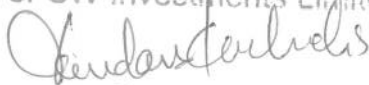
Company Secretary  
Encl. As stated above



## General Information

|                                                                                              |                                                                                     |
|----------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------|
| Name of the Company : SW INVESTMENTS LIMITED                                                 |                                                                                     |
| Scrip Code, Name of the scrip, class of security : 503659, SW INVESTMENTS LTD, Equity shares |                                                                                     |
| Quarter ended : 30-6-2015                                                                    |                                                                                     |
| <b>General information [Abstract]</b>                                                        |                                                                                     |
| Name of the company                                                                          | SW INVESTMENTS LIMITED                                                              |
| Scrip code                                                                                   | 503659                                                                              |
| Corporate identity number                                                                    | L65990MH1980PLC023333                                                               |
| Address of registered office of company                                                      | 5th Floor, Sunteck Centre, 37-40 Subhash Road, Vile Parle (East),<br>Mumbai- 400057 |
| Permanent account number of entity                                                           | AAACL2629M                                                                          |
| Name of the scrip                                                                            | SW INVESTMENTS LTD                                                                  |
| Class of security                                                                            | Equity shares                                                                       |
| Type of report                                                                               | Quarterly                                                                           |
| Reason for additional filling                                                                |                                                                                     |
| Whether company has more than one class of shares or securities                              | No                                                                                  |
| Whether company is SME                                                                       | No                                                                                  |
| Date of report                                                                               | 30-6-2015                                                                           |

For SW Investments Limited



Company Secretary

## Disclosure of shareholding pattern

| Name of the Company : SW INVESTMENTS LIMITED                                                         |                                                   |                                  |                                                                       |                                                                                                                  |
|------------------------------------------------------------------------------------------------------|---------------------------------------------------|----------------------------------|-----------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------|
| Scrip Code, Name of the scrip, class of security : 503659, SW INVESTMENTS LTD, Equity shares         |                                                   |                                  |                                                                       |                                                                                                                  |
| Quarter ended : 30-6-2015                                                                            |                                                   |                                  |                                                                       |                                                                                                                  |
| Disclosure of notes on shareholding pattern                                                          |                                                   |                                  |                                                                       | Notes                                                                                                            |
| Partly paid-up shares                                                                                |                                                   |                                  |                                                                       |                                                                                                                  |
| ID                                                                                                   | Description partly paid-up shares                 | Number of partly paid-up shares  | As a percentage of total number of partly paid-up shares              | As a percentage of total number of shares of the company                                                         |
| Shares held by promoter or promoter group                                                            |                                                   |                                  |                                                                       |                                                                                                                  |
| Total Shares held by promoter or promoter group                                                      |                                                   |                                  |                                                                       |                                                                                                                  |
| Shares held by public                                                                                |                                                   |                                  |                                                                       |                                                                                                                  |
| Total Shares held by public                                                                          |                                                   |                                  |                                                                       |                                                                                                                  |
| Total                                                                                                |                                                   |                                  |                                                                       |                                                                                                                  |
| Outstanding convertible securities                                                                   |                                                   |                                  |                                                                       |                                                                                                                  |
| ID                                                                                                   | Description of outstanding convertible securities | Number of outstanding securities | As a percentage of total number of outstanding convertible securities | As a percentage of total number of shares of the company, assuming full conversion of the convertible securities |
| Shares held by promoter or promoter group                                                            |                                                   |                                  |                                                                       |                                                                                                                  |
| Total shares held by promoter or promoter group                                                      |                                                   |                                  |                                                                       |                                                                                                                  |
| Shares held by public                                                                                |                                                   |                                  |                                                                       |                                                                                                                  |
| Total Shares held by public                                                                          |                                                   |                                  |                                                                       |                                                                                                                  |
| Total                                                                                                |                                                   |                                  |                                                                       |                                                                                                                  |
| Warrants                                                                                             |                                                   |                                  |                                                                       |                                                                                                                  |
| ID                                                                                                   | Description of warrants                           | Number of warrants               | As a percentage of total number of warrants                           | As a percentage of total number of shares of the company, assuming full conversion of warrants                   |
| Shares held by promoter or promoter group                                                            |                                                   |                                  |                                                                       |                                                                                                                  |
| Total Shares held by promoter or promoter group                                                      |                                                   |                                  |                                                                       |                                                                                                                  |
| Shares held by public                                                                                |                                                   |                                  |                                                                       |                                                                                                                  |
| Total Shares held by public                                                                          |                                                   |                                  |                                                                       |                                                                                                                  |
| Total                                                                                                |                                                   |                                  |                                                                       |                                                                                                                  |
| Total paid-up capital of the company assuming full conversion of warrants and convertible securities |                                                   | 900000                           |                                                                       |                                                                                                                  |



## Disclosure of fully paid-up shares

|                                                                                              |                                                 |                            |                        |                                              |                                                              |                            |                                        |                              |
|----------------------------------------------------------------------------------------------|-------------------------------------------------|----------------------------|------------------------|----------------------------------------------|--------------------------------------------------------------|----------------------------|----------------------------------------|------------------------------|
| Name of the Company : SW INVESTMENTS LIMITED                                                 |                                                 |                            |                        |                                              |                                                              |                            |                                        |                              |
| Scrip Code, Name of the scrip, class of security : 503659, SW INVESTMENTS LTD, Equity shares |                                                 |                            |                        |                                              |                                                              |                            |                                        |                              |
| Quarter ended : 30-6-2015                                                                    |                                                 |                            |                        |                                              |                                                              |                            |                                        |                              |
| Disclosure of notes on fully paid-up shares                                                  |                                                 |                            |                        |                                              |                                                              |                            |                                        | Notes                        |
| Category code                                                                                |                                                 |                            |                        |                                              | Total Shareholding as a percentage of total number of shares |                            | Shares pledged or otherwise encumbered |                              |
|                                                                                              |                                                 | Number of shareholders     | Total number of shares | Number of shares held in dematerialised form | As a percentage of (A+B)                                     | As a percentage of (A+B+C) | Number of shares                       | As a percentage              |
| (I)                                                                                          | (II)                                            | (III)                      | (IV)                   | (V)                                          | (VI)                                                         | (VII)                      | (VIII)                                 | (IX)=<br>(VIII)/(IV)<br>*100 |
| (A)                                                                                          | Shareholding of Promoter and Promoter Group     |                            |                        |                                              |                                                              |                            |                                        |                              |
| (1)                                                                                          | Indian                                          |                            |                        |                                              |                                                              |                            |                                        |                              |
| (a)                                                                                          | Individuals or Hindu undivided family           | 5                          | 243000                 | 243000                                       | 27                                                           | 27                         | 0                                      | 0                            |
| (b)                                                                                          | Central government or State government(s)       | 0                          | 0                      | 0                                            | 0                                                            | 0                          |                                        |                              |
| (c)                                                                                          | Bodies corporate                                | 2                          | 138000                 | 138000                                       | 15.33                                                        | 15.33                      | 0                                      | 0                            |
| (d)                                                                                          | Financial institutions or banks                 | 0                          | 0                      | 0                                            | 0                                                            | 0                          |                                        |                              |
| (e)                                                                                          | Any other (specify)                             | Description of Shareholder |                        |                                              |                                                              |                            |                                        |                              |
| Total Others                                                                                 |                                                 |                            |                        |                                              |                                                              |                            |                                        |                              |
| Sub Total (A) (1)                                                                            |                                                 | 7                          | 381000                 | 381000                                       | 42.33                                                        | 42.33                      | 0                                      | 0                            |
| (2)                                                                                          | Foreign                                         |                            |                        |                                              |                                                              |                            |                                        |                              |
| (a)                                                                                          | Non-resident individuals or foreign individuals | 0                          | 0                      | 0                                            | 0                                                            | 0                          |                                        |                              |
| (b)                                                                                          | Bodies corporate                                | 0                          | 0                      | 0                                            | 0                                                            | 0                          |                                        |                              |
| (c)                                                                                          | Institutions                                    | 0                          | 0                      | 0                                            | 0                                                            | 0                          |                                        |                              |
| (d)                                                                                          | Qualified foreign investor                      | 0                          | 0                      | 0                                            | 0                                                            | 0                          |                                        |                              |
| (e)                                                                                          | Any other (specify)                             | Description of Shareholder |                        |                                              |                                                              |                            |                                        |                              |
| Total Others                                                                                 |                                                 |                            |                        |                                              |                                                              |                            |                                        |                              |
| Sub-Total (A) (2)                                                                            |                                                 | 0                          | 0                      | 0                                            | 0                                                            | 0                          |                                        |                              |
| Total Shareholding of Promoter and Promoter Group (A) = (A)(1) + (A)(2)                      |                                                 | 7                          | 381000                 | 381000                                       | 42.33                                                        | 42.33                      | 0                                      | 0                            |
| (B)                                                                                          | Public Shareholding                             |                            |                        |                                              |                                                              |                            |                                        |                              |
| (1)                                                                                          | Institutions                                    |                            |                        |                                              |                                                              |                            |                                        |                              |
| (a)                                                                                          | Mutual funds or UTI                             | 0                          | 0                      | 0                                            | 0                                                            | 0                          |                                        |                              |
| (b)                                                                                          | Financial institutions or banks                 | 0                          | 0                      | 0                                            | 0                                                            | 0                          |                                        |                              |
| (c)                                                                                          | Central government or State government(s)       | 0                          | 0                      | 0                                            | 0                                                            | 0                          |                                        |                              |
| (d)                                                                                          | Venture capital funds                           | 0                          | 0                      | 0                                            | 0                                                            | 0                          |                                        |                              |



|                                               |                                                                                  |                            |        |        |       |       |      |   |
|-----------------------------------------------|----------------------------------------------------------------------------------|----------------------------|--------|--------|-------|-------|------|---|
| (e)                                           | Insurance companies                                                              | 0                          | 0      | 0      | 0     | 0     |      |   |
| (f)                                           | Foreign institutional investors                                                  | 0                          | 0      | 0      | 0     | 0     |      |   |
| (g)                                           | Foreign venture capital investors                                                | 0                          | 0      | 0      | 0     | 0     |      |   |
| (h)                                           | Qualified foreign investor                                                       | 0                          | 0      | 0      | 0     | 0     |      |   |
| (k)                                           | Any other (specify)                                                              | Description of Shareholder |        |        |       |       |      |   |
| Total Others                                  |                                                                                  |                            |        |        |       |       |      |   |
| Sub Total (B) (1)                             |                                                                                  | 0                          | 0      | 0      | 0     | 0     |      |   |
| (2)                                           | Non-Institutions                                                                 |                            |        |        |       |       |      |   |
| (a)                                           | Bodies corporate                                                                 | 4                          | 36051  | 36051  | 4.01  | 4.01  |      |   |
| (b)                                           | Individuals                                                                      |                            |        |        |       |       |      |   |
| i                                             | Individual shareholders holding nominal share capital up to Rs one lakh          | 339                        | 138199 | 88809  | 15.36 | 15.36 |      |   |
| ii                                            | Individual shareholders holding nominal share capital in excess of Rs one lakh   | 11                         | 344650 | 344650 | 38.29 | 38.29 |      |   |
| (c)                                           | Qualified foreign investor                                                       | 0                          | 0      | 0      | 0     | 0     |      |   |
| (d)                                           | Any other (specify)                                                              | Description of Shareholder |        |        |       |       |      |   |
| 1                                             | Non-Institutions Shareholders 1                                                  | Clearing Members           | 1      | 100    | 100   | 0.01  | 0.01 |   |
| Total Others                                  |                                                                                  | 1                          | 100    | 100    | 0.01  | 0.01  |      |   |
| Sub-total (B) (2)                             |                                                                                  | 355                        | 519000 | 469610 | 57.67 | 57.67 |      |   |
| Total Public Shareholding (B) = (B)(1)+(B)(2) |                                                                                  | 355                        | 519000 | 469610 | 57.67 | 57.67 |      |   |
| TOTAL (A)+(B)                                 |                                                                                  | 362                        | 900000 | 850610 | 100   | 100   | 0    | 0 |
| (C)                                           | Shares held by custodians and against which depository receipts have been issued |                            |        |        |       |       |      |   |
| (1)                                           | Promoter and promoter group                                                      |                            |        |        |       |       |      |   |
| (2)                                           | Public                                                                           |                            |        |        |       |       |      |   |
| Total Shares held by Custodians (C)           |                                                                                  |                            |        |        |       |       |      |   |
| GRAND TOTAL (A)+(B)+(C)                       |                                                                                  | 362                        | 900000 | 850610 |       | 100   | 0    | 0 |



## (I) (b) Statement showing holding of securities (including shares,warrants,convertible securities) of persons belonging to the category Promoter and Promoter Group

| Name of the Company : SW INVESTMENTS LIMITED                                                 |                                            |                           |                                                |                               |                    |                                                                 |                         |                                                                              |                                       |                                                                                               |                                                                                                                                                     |
|----------------------------------------------------------------------------------------------|--------------------------------------------|---------------------------|------------------------------------------------|-------------------------------|--------------------|-----------------------------------------------------------------|-------------------------|------------------------------------------------------------------------------|---------------------------------------|-----------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------|
| Scrip Code, Name of the scrip, class of security : 503659, SW INVESTMENTS LTD, Equity shares |                                            |                           |                                                |                               |                    |                                                                 |                         |                                                                              |                                       |                                                                                               |                                                                                                                                                     |
| Quarter ended : 30-6-2015                                                                    |                                            |                           |                                                |                               |                    |                                                                 |                         |                                                                              |                                       |                                                                                               |                                                                                                                                                     |
| Disclosure of notes on securities belonging to the category "Promoter and Promoter Group"    |                                            |                           |                                                |                               |                    |                                                                 |                         |                                                                              |                                       |                                                                                               | Notes                                                                                                                                               |
| Sr. No.                                                                                      |                                            | Details of Shares held    |                                                | Encumbered Share              |                    |                                                                 | Details of warrants     |                                                                              | Details of convertible securities     |                                                                                               |                                                                                                                                                     |
|                                                                                              | Name of the shareholder                    | Number of the shares held | As a percentage of grand total (A) + (B) + (C) | Encumbered - number of shares | As a percentage    | As a percentage of grand total (A) + (B) + (C) of clause (I)(a) | Number of warrants held | Warrants held as a percentage of total numbers of warrants of the same class | Number of convertible securities held | Convertible securities as percentage of total numbers of convertible securities of same class | Total shares (including underlying shares assuming full conversion of warrants and convertible securities) as a percentage of diluted share capital |
| (I)                                                                                          | (II)                                       | (III)                     | (IV)                                           | (V)                           | (VI)=(V)/(III)*100 | (I)(a) (VII)                                                    | (VIII)                  | (IX)                                                                         | (X)                                   | (XI)                                                                                          | (XII)                                                                                                                                               |
| 1                                                                                            | Eskay Infrastructure Development Pvt. Ltd. | 65000                     | 7.22                                           | 0                             | 0                  | 0                                                               |                         |                                                                              |                                       |                                                                                               | 7.22                                                                                                                                                |
| 2                                                                                            | Kamal Khetan HUF                           | 75000                     | 8.33                                           | 0                             | 0                  | 0                                                               |                         |                                                                              |                                       |                                                                                               | 8.33                                                                                                                                                |
| 3                                                                                            | Manisha Khetan                             | 50000                     | 5.56                                           | 0                             | 0                  | 0                                                               |                         |                                                                              |                                       |                                                                                               | 5.56                                                                                                                                                |
| 4                                                                                            | Buteo Investment Pvt. Ltd.                 | 73000                     | 8.11                                           | 0                             | 0                  | 0                                                               |                         |                                                                              |                                       |                                                                                               | 8.11                                                                                                                                                |
| 5                                                                                            | Akrur Kamal Khetan                         | 50000                     | 5.56                                           | 0                             | 0                  | 0                                                               |                         |                                                                              |                                       |                                                                                               | 5.56                                                                                                                                                |
| 6                                                                                            | Anupma Kamal Khetan                        | 50000                     | 5.56                                           | 0                             | 0                  | 0                                                               |                         |                                                                              |                                       |                                                                                               | 5.56                                                                                                                                                |
| 7                                                                                            | Kamal Khetan                               | 18000                     | 2                                              | 0                             | 0                  | 0                                                               |                         |                                                                              |                                       |                                                                                               | 2                                                                                                                                                   |
| Total                                                                                        |                                            | 381000                    | 42.33                                          | 0                             | 0                  | 0                                                               |                         |                                                                              |                                       |                                                                                               | 42.33                                                                                                                                               |



**(I) (c) (i) Statement showing holding of securities (including shares,warrants,convertible securities) of persons belonging to the category Public and holding more than 1% of the total number of shares**

| Name of the Company : SW INVESTMENTS LIMITED                                                                                                                  |                                                  |                           |                                                                                                                                |                         |                                                                              |                                       |                                                                                               |                                                                                                                                                     |
|---------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------|---------------------------|--------------------------------------------------------------------------------------------------------------------------------|-------------------------|------------------------------------------------------------------------------|---------------------------------------|-----------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------|
| Scrip Code, Name of the scrip, class of security : 503659, SW INVESTMENTS LTD, Equity shares                                                                  |                                                  |                           |                                                                                                                                |                         |                                                                              |                                       |                                                                                               |                                                                                                                                                     |
| Quarter ended : 30-6-2015                                                                                                                                     |                                                  |                           |                                                                                                                                |                         |                                                                              |                                       |                                                                                               |                                                                                                                                                     |
| Disclosure of notes on holding of securities of persons belonging to the category "Public" and holding more than one percentage of the total number of shares |                                                  |                           |                                                                                                                                |                         |                                                                              |                                       |                                                                                               | Notes                                                                                                                                               |
| Sr. No.                                                                                                                                                       |                                                  | Details of Shares held    |                                                                                                                                | Details of warrants     |                                                                              | Details of convertible securities     |                                                                                               |                                                                                                                                                     |
|                                                                                                                                                               | Name of the shareholder                          | Number of the shares held | Shares as percentage of total number of shares (i.e. Grand Total (A) + (B) + (C) indicated in statement at para (I) (a) above} | Number of warrants held | Warrants held as a percentage of total numbers of warrants of the same class | Number of convertible securities held | Convertible securities as percentage of total numbers of convertible securities of same class | Total shares (including underlying shares assuming full conversion of warrants and convertible securities) as a percentage of diluted share capital |
| (I)                                                                                                                                                           | (II)                                             | (III)                     | (IV)                                                                                                                           | (V)                     | (VI)                                                                         | (I)(a) (VII)                          | (VIII)                                                                                        | (IX)                                                                                                                                                |
| 1                                                                                                                                                             | Malti Gupta                                      | 37000                     | 4.11                                                                                                                           |                         |                                                                              |                                       |                                                                                               | 4.11                                                                                                                                                |
| 2                                                                                                                                                             | Ajay M Reshamwala                                | 37000                     | 4.11                                                                                                                           |                         |                                                                              |                                       |                                                                                               | 4.11                                                                                                                                                |
| 3                                                                                                                                                             | Unisys Softwares and Holdings Industries Limited | 36000                     | 4                                                                                                                              |                         |                                                                              |                                       |                                                                                               | 4                                                                                                                                                   |
| 4                                                                                                                                                             | Anup Bhagchand Agrawal                           | 35000                     | 3.89                                                                                                                           |                         |                                                                              |                                       |                                                                                               | 3.89                                                                                                                                                |
| 5                                                                                                                                                             | Vivek Nityanand Jindal                           | 35000                     | 3.89                                                                                                                           |                         |                                                                              |                                       |                                                                                               | 3.89                                                                                                                                                |
| 6                                                                                                                                                             | Ghanshyam Hiralalji Rander                       | 35000                     | 3.89                                                                                                                           |                         |                                                                              |                                       |                                                                                               | 3.89                                                                                                                                                |
| 7                                                                                                                                                             | Pravinkumar Dhanraj Khimavat                     | 35000                     | 3.89                                                                                                                           |                         |                                                                              |                                       |                                                                                               | 3.89                                                                                                                                                |
| 8                                                                                                                                                             | Yogendra Raj Singhvi                             | 35000                     | 3.89                                                                                                                           |                         |                                                                              |                                       |                                                                                               | 3.89                                                                                                                                                |
| 9                                                                                                                                                             | Mahendra Kumar Rawal                             | 30965                     | 3.44                                                                                                                           |                         |                                                                              |                                       |                                                                                               | 3.44                                                                                                                                                |
| 10                                                                                                                                                            | Ashok Moolchand Jain                             | 30000                     | 3.33                                                                                                                           |                         |                                                                              |                                       |                                                                                               | 3.33                                                                                                                                                |
| 11                                                                                                                                                            | Panna Haresh Thakar                              | 19215                     | 2.13                                                                                                                           |                         |                                                                              |                                       |                                                                                               | 2.13                                                                                                                                                |
| 12                                                                                                                                                            | Sangeeta Nitin Mehtalia                          | 15470                     | 1.72                                                                                                                           |                         |                                                                              |                                       |                                                                                               | 1.72                                                                                                                                                |
| 13                                                                                                                                                            | Ankit Gupta                                      | 9850                      | 1.09                                                                                                                           |                         |                                                                              |                                       |                                                                                               | 1.09                                                                                                                                                |
| <b>Total</b>                                                                                                                                                  |                                                  | 390500                    | 43.39                                                                                                                          |                         |                                                                              |                                       |                                                                                               | 43.39                                                                                                                                               |



