

SW INVESTMENTS LIMITED

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SWIL/BSE/ /15-16

Date: 18th January, 2016

To
Corporate Relation Department
BSE Limited
P. J. Tower, Dalal Street,
Mumbai – 400 001

Scrip Code: 503659

Sub: Submission of Quarterly Shareholding Pattern under Regulation 31(1)(b) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 for the Quarter ended on 31st December, 2015.

Sir,

Please find attached herewith Quarterly Shareholding Pattern of the Company for the quarter ended 31st December, 2015.

Request you to take the same on record and acknowledge the receipt of the same.

For SW Investments Limited



Mayuri Jain
Company Secretary
Encl. As stated above



General information about company	
Scrip code	503659
Name of the company	SW INVESTMENTS LIMITED
Class of Security	Equity Shares
Type of report	Quarterly
Quarter Ended	31-12-2015
Date of allotment / extinguishment (in case Capital Restructuring selected) / Listing Date	
Shareholding pattern filed under	Regulation 31 (1) (b)

For SW Investments Limited


Company Secretary



Sr. No.	Particular	Yes/No
1	Whether the Listed Entity has issued any partly paid up shares?	No
2	Whether the Listed Entity has issued any Convertible Securities ?	No
3	Whether the Listed Entity has issued any Warrants ?	No
4	Whether the Listed Entity has any shares against which depository receipts are issued?	No
5	Whether the Listed Entity has any shares in locked-in?	No
6	Whether any shares held by promoters are pledge or otherwise encumbered?	No
7	Whether company has equity shares with differential voting rights	No



Table I - Summary Statement holding of specified securities

Category (I)	Category of shareholder (II)	Nos. Of shareholders (III)	No. of fully paid up equity shares held (IV)	No. Of Partly paid-up equity shares held (V)	No. Of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+(V)+(VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)	Number of Voting Rights held in each class of securities (IX)			
									No of Voting (XIV) Rights			Total as a % of (A+B+C)
									Class eg: X	Class eg: y	Total	
(A)	Promoter & Promoter Group	8	381000			381000	42.33					
(B)	Public	360	519000			519000	57.67					
(C)	Non Promoter-Non Public											
(C1)	Shares underlying DRs											
(C2)	Shares held by Employee Trusts											
	Total	368	900000			900000						



Table I - Summary Statement holding of specified securities

Category (I)	Category of shareholder (II)	No. Of Shares Underlying Outstanding convertible securities (X)	No. Of Warrants (Xi)	No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)
						No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)	
(A)	Promoter & Promoter Group				42.33					381000
(B)	Public				57.67					469610
(C)	Non Promoter- Non Public									
(C1)	Shares underlying DRs									
(C2)	Shares held by Employee Trusts									
	Total									850610



Table II - Statement showing shareholding pattern of the Promoter and Promoter Group											
Sr.	Category & Name of the Shareholders (I)	Nos. Of shareholders (III)	No. of fully paid up equity shares held (IV)	No. Of Partly paid-up equity shares held (V)	No. Of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+(V)+(VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			Total as a % of Total Voting rights
								No of Voting (XIV) Rights			
								Class eg: X	Class eg: y	Total	
A	Table II - Statement showing shareholding pattern of the Promoter and Promoter Group										
(1)	Indian										
(a)	Individuals/Hindu undivided Family	5	243000			243000	27				
(d)	Any Other (specify)	3	138000			138000	15.33				
Sub-Total (A)(1)		8	381000			381000	42.33				
(2)	Foreign										
Total Shareholding of Promoter and Promoter Group (A)=(A)(1)+(A)(2)		8	381000			381000	42.33				
B	Table III - Statement showing shareholding pattern of the Public shareholder										
(1)	Institutions										
(3)	Non-institutions										
(a(i))	Individuals - i. Individual shareholders holding nominal share capital up to Rs. 2 lakhs.	345	172807			172807	19.2				
(a(ii))	Individuals - ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.	9	309986			309986	34.44				
(e)	Any Other (specify)	6	36207			36207	4.02				
Sub-Total (B)(3)		360	519000			519000	57.67				
Total Public Shareholding (B)=(B)(1)+(B)(2)+(B)(3)		360	519000			519000	57.67				
C	Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder										
Total (A+B+C2)		368	900000			900000	100				
Total (A+B+C)		368	900000			900000					



Table II - Statement showing shareholding pattern of the Promoter and Promoter Group									
Sr.	No. Of Shares Underlying Outstanding convertible securities (X)	No. Of Warrants (Xi)	No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)
					No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)	
A	Table II - Statement showing shareholding pattern of the Promoter and Promoter Group								
(1)	Indian								
(a)				27					243000
(d)				15.33					138000
Sub-Total (A)(1)				42.33					381000
(2)	Foreign								
Total Shareholding of Promoter and Promoter Group (A)=(A)(1)+(A)(2)				42.33					381000
B	Table III - Statement showing shareholding pattern of the Public shareholder								
(1)	Institutions								
(3)	Non-institutions								
(a(i))				19.2					123417
(a(ii))				34.44					309986
(e)				4.02					36207
Sub-Total (B)(3)				57.67					469610
Total Public Shareholding (B)=(B)(1)+(B)(2)+(B)(3)				57.67					469610
C	Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder								
Total (A+B+C2)				100					850610
Total (A+B+C)									850610



Individuals/Hindu undivided Family						
Serial No.	1	2	3	4	5	
Name of the Shareholders (I)	Kamal Khetan Huf	Akrur Kamal Khetan	Anupma Kamal Khetan	Kamal Khetan	Manisha Kamal Khetan	
PAN (II)	AAHHK0902Q	BFXPK0340A	BFXPK0222B	AAHPK4505D	ABTPK3232G	Total
No. of fully paid up equity shares held (IV)	75000	50000	50000	18000	50000	243000
No. Of Partly paid-up equity shares held (V)						
No. Of shares underlying Depository Receipts (VI)						
Total nos. shares held (VII) = (IV)+(V)+(VI)	75000	50000	50000	18000	50000	243000
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	8.33	5.56	5.56	2	5.56	27
Number of Voting Rights held in each class of securities (IX)						
Class eg: X						
Class eg: y						
Total						
Total as a % of Total Voting rights						
No. Of Shares Underlying Outstanding convertible securities (X)						
No. Of Warrants (Xi)						
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)						
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(Xi)(a) As a % of (A+B+C2)	8.33	5.56	5.56	2	5.56	27
Number of Locked in shares (XII)						
No. (a)						
As a % of total Shares held (b)						
Number of Shares pledged or otherwise encumbered (XIII)						
No. (a)						
As a % of total Shares held (b)						
Number of equity shares held in dematerialized form (XIV)	75000	50000	50000	18000	50000	243000



Any Other (specify)			
Serial No.	1	2	
Category	Other	Other	
Name of the Shareholders (I)	Buteo Investment Private Limited	Eskay Infrastructure Development Private Limited	
PAN (II)	AACCB9395C	AAACE9011E	Total
No. of the Shareholders (I)	1	2	3
No. of fully paid up equity shares held (IV)	73000	65000	138000
No. Of Partly paid-up equity shares held (V)			
No. Of shares underlying Depository Receipts (VI)			
Total nos. shares held (VII) = (IV)+(V)+ (VI)	73000	65000	138000
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	8.11	7.22	15.33
Number of Voting Rights held in each class of securities (IX)			
Class eg: X			
Class eg:y			
Total			
Total as a % of Total Voting rights			
No. Of Shares Underlying Outstanding convertible securities (X)			
No. Of Warrants (Xi)			
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)			
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	8.11	7.22	15.33
Number of Locked in shares (XII)			
No. (a)			
As a % of total Shares held (b)			
Number of Shares pledged or otherwise encumbered (XIII)			
No. (a)			
As a % of total Shares held (b)			



Individuals - ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.							
Serial No.	1	2	3	4	5	6	7
Name of the Shareholders (I)	MALTI GUPTA	AJAY M RESHAMWALA	ANUP BHAGCHAND AGRAWAL	YOGENDRA RAJ SINGHVI	GHANSHYAM HIRALALJI RANDER	VEENA PRAVIN KHIMAVAT	VIVEK NITYANAND JINDAL
PAN (II)	ALRPG8185A	AAAPR5594G	AAGPA7352R	ANJPS9745G	AABPR1722M	AKYPK7332B	ABEPJ1114D
No. of fully paid up equity shares held (IV)	37000	37000	35000	35000	35000	35000	35000
No. Of Partly paid-up equity shares held (V)							
No. Of shares underlying Depository Receipts (VI)							
Total nos. shares held (VII) = (IV)+(V)+ (VI)	37000	37000	35000	35000	35000	35000	35000
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	4.11	4.11	3.89	3.89	3.89	3.89	3.89
Number of Voting Rights held in each class of securities (IX)							
Class eg: X							
Class eg:y							
Total							
Total as a % of Total Voting rights							
No. Of Shares Underlying Outstanding convertible securities (X)							
No. Of Warrants (Xi)							
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)							
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	4.11	4.11	3.89	3.89	3.89	3.89	3.89
Number of Locked in shares (XII)							
No. (a)							
As a % of total Shares held (b)							
Number of equity shares held in dematerialized form (XIV)	37000	37000	35000	35000	35000	35000	35000



Individuals - ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.			
Serial No.	8	9	
Name of the Shareholders (I)	MAHENDRA KUMAR J RAWAL	ASHOK JAIN	
PAN (II)	APQPR3486J	AAIPJ9350L	Total
No. of fully paid up equity shares held (IV)	30986	30000	309986
No. Of Partly paid-up equity shares held (V)			
No. Of shares underlying Depository Receipts (VI)			
Total nos. shares held (VII) = (IV)+(V)+ (VI)	30986	30000	309986
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	3.44	3.33	34.44
Number of Voting Rights held in each class of securities (IX)			
Class eg: X			
Class eg:y			
Total			
Total as a % of Total Voting rights			
No. Of Shares Underlying Outstanding convertible securities (X)			
No. Of Warrants (Xi)			
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)			
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	3.44	3.33	34.44
Number of Locked in shares (XII)			
No. (a)			
As a % of total Shares held (b)			
Number of equity shares held in dematerialized form (XIV)	30986	30000	309986



Any Other (specify)		
Serial No.	1	
Category	Bodies Corporate	
Name of the Shareholders (I)	Unisys Softwares and Holdings Industries Ltd.	
PAN (II)	AABCC1191Q	Total
No. of the Shareholders (I)	1	1
No. of fully paid up equity shares held (IV)	36000	36000
No. Of Partly paid-up equity shares held (V)		
No. Of shares underlying Depository Receipts (VI)		
Total nos. shares held (VII) = (IV)+(V)+ (VI)	36000	36000
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	4	4
Number of Voting Rights held in each class of securities (IX)		
Class eg: X		
Class eg:y		
Total		
Total as a % of Total Voting rights		
No. Of Shares Underlying Outstanding convertible securities (X)		
No. Of Warrants (Xi)		
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)		
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	4	4
Number of Locked in shares (XII)		
No. (a)		
As a % of total Shares held (b)		
Number of equity shares held in dematerialized form (XIV)	36000	36000



