

## **SW INVESTMENTS LIMITED**

5<sup>th</sup> Floor, Sunteck Centre, 37-40 Subhash Road, Vile Parle (East) Mumbai 400057. CIN NO.: L65990MH1980PLC023333  
Tel: +22 4287 7800 Fax : +22 4287 7890 Email Id : cosec@swlindia.com. Website: www.swlindia.com

---

**SWIL/BSE/28/16-17**

**Date: 12<sup>th</sup> January, 2017**

**To**

**Corporate Relation Department**

**BSE Limited**

P. J. Tower, Dalal Street,  
Mumbai – 400 001

**Scrip Code: 503659**

**Sub: Submission of Reconciliation of Shares and Capital Audit Report under Regulation 55 A of SEBI (Depositories and Participants) Regulations, 1996 for the Quarter ended on 31<sup>st</sup> December, 2016.**

Sir,

Please find attached herewith Reconciliation of Shares and Capital Audit Report of the Company for the quarter ended 31<sup>st</sup> December, 2016.

Request you to take the same on record and acknowledge the receipt of the same.

Thanking You,

Yours Faithfully,

For **SW Investments Limited**

  
**Sapna Patel**  
**Company Secretary**



**Encl: as above**

***Veeraraghavan.N***  
Practicing Company Secretary

First Maritime Private Limited  
201 , Gheewala Building  
Opp. New India Co-op Bank Ltd  
M P Road, Mulund East,  
Mumbai 400081.  
Ph:25632653, Mob:9821528844  
Email: nvr54@ymail.com

### RECONCILIATION OF SHARE CAPITAL AUDIT REPORT

1.	For quarter ended	31 <sup>st</sup> December, 2016	
2.	ISIN	INE948K01011	
3.	Face value	Rs. 10/-	
4.	Name of the company	SW Investments Limited	
5.	Registered office address	5th Floor, Sunteck Centre, 37-40 Subhash Road, Vile Parle (East), Mumbai- 400057	
6.	Correspondence address	5th Floor, Sunteck Centre, 37-40 Subhash Road, Vile Parle (East), Mumbai- 400057	
7.	Telephone and fax nos.	Tel. No. 42877800 Fax No. 42877890	
8.	e-mail address	<a href="mailto:cosec@swlindia.com">cosec@swlindia.com</a>	
9.	Name of the stock exchanges where the company's securities are listed	BSE Limited	
10.	Issued capital	No. of shares	% of Total Issued Capital
		900000	100
11.	Listed capital ( exchange wise ) ( as per company records )	No. of shares	% of Total Issued Capital
	Bombay Stock Exchange Ltd	900000	100
12.	Held in dematerialized form in CDSL	No. of shares	% of Total Issued Capital
		397359	44.15
13.	Held in dematerialized form in NSDL	No. of shares	% of Total Issued Capital
		453251	50.36



14.	Physical	No. of shares	% of Total Issued Capital
		49390	5.49

15. Total no. of shares ( 12 + 13 +14 ) 900000 shares

16. Reasons for difference if any between ( 10 & 11) ( 10 & 15) ( 11 & 15) NA

17. Certifying the details of changes in share capital during the quarter under consideration as per table below:

Particulars	No. of Shares	Applied/ Not Applied for Listing	Listed on Stock Exchanges (Specify Names)	Whether intimated to CDSL	Whether intimated to NDSL	In-prin appr. Pending for SE (Specify Names)
-------------	---------------	----------------------------------	---	---------------------------	---------------------------	--

Nil	Nil	Nil	Nil	Nil	Nil	Nil
-----	-----	-----	-----	-----	-----	-----

18. Register of Members is updated? If not, updated to which date Yes

19. Reference of previous quarter with regards to excess dematerialized shares, if any. Nil

20. Has the Company resolved the matter mentioned in point No. 19 above in the current quarter? If not, reason why? Yes

21. Mention the total number of requests, if any, confirmed after 21 days and the total no. of requests pending beyond 21 days with the reasons for delay. Nil

22. Name, Telephone & Fax No. of the Compliance Officer of the Company  
Ms. Sapna Patel  
Company Secretary  
Tel. No. 022-42877800  
Fax No. 022-42877890

23. Name, Address Tel & Fax. No. Registration No of the Auditor.  
Veeraraghavan.N  
First Maritime Private Limited  
201 , Gheewala Building  
Opp. New India Co-op Bank Ltd  
M P Road, Mulund East,  
Mumbai 400081.  
Tel.No.:2563 2653  
C.P.No. 4334



24 Appointment of Common  
Agency for share registry work.  
If yes Name and address

Link Intime India Private Limited  
C-13 Pannalal Silk Mills Compound  
L.B.S. Marg, Bhandup – (West)  
Mumbai 400078  
Tel. No. 022-2596 3838  
Fax No. 022-2594 6969  
Email: [sanskriti.more@linkintime.co.in](mailto:sanskriti.more@linkintime.co.in)  
Website: [www.linkintime.co.in](http://www.linkintime.co.in)

25 Any other detail that the auditor  
may like to provide.

Nil

Date: 12<sup>th</sup> January, 2017  
Place: Mumbai

  
Veeraraghavan N.  
C.P. No. 4334

