

# SW INVESTMENTS LIMITED

5<sup>th</sup> Floor, Sunteck Centre, 37-40 Subhash Road, Vile Parle (East) Mumbai 400057. CIN NO.:L65990MH1980PLC023333  
Tel: +22 4287 7800 Fax : +22 4287 7890 Email Id : cosec@sw1india.com. Website: www. sw1india.com

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SWIL/BSE/03/17-18

Date: 24<sup>th</sup> April, 2017

To  
Corporate Relation Department  
BSE Limited  
P. J. Tower, Dalal Street,  
Mumbai – 400 001  
Scrip Code: 503659

**Sub: Submission of Reconciliation of Shares and Capital Audit Report under Regulation 55 A of SEBI (Depositories and Participants) Regulations, 1996 for the Quarter ended on 31<sup>st</sup> March, 2017.**

Sir,

Please find attached herewith Reconciliation of Shares and Capital Audit Report of the Company for the quarter ended 31<sup>st</sup> March, 2017.

Request you to take the same on your records.

Thanking You,

Yours Faithfully,

For SW Investments Limited

  
Sapna Patel  
Company Secretary  
Encl: a/a



**Veeraraghavan.N**  
Practicing Company Secretary

First Maritime Private Limited  
201 , Gheewala Building  
Opp. New India Co-op Bank Ltd  
M P Road, Mulund East,  
Mumbai 400081.  
Ph:25632653, Mob:9821528844  
Email: nvr54@ymail.com

### RECONCILIATION OF SHARE CAPITAL AUDIT REPORT

|     |   |  |                           |
|-----|---|--|---------------------------|
| 1.  | For quarter ended   | 31 <sup>st</sup> March, 2017   |                           |
| 2.  | ISIN  | INE948K01011   |                           |
| 3.  | Face value  | Rs. 10/-   |                           |
| 4.  | Name of the company   | SW Investments Limited   |                           |
| 5.  | Registered office address   | 5th Floor, Sunteck Centre, 37-40 Subhash Road, Vile Parle (East), Mumbai- 400057 |                           |
| 6.  | Correspondence address  | 5th Floor, Sunteck Centre, 37-40 Subhash Road, Vile Parle (East), Mumbai- 400057 |                           |
| 7.  | Telephone and fax nos.  | Tel. No. 42877800<br>Fax No. 42877890  |                           |
| 8.  | e-mail address  | <a href="mailto:cosec@sw1india.com">cosec@sw1india.com</a>                       |                           |
| 9.  | Name of the stock exchanges where the company's securities are listed | BSE Limited  |                           |
| 10. | Issued capital  | No. of shares  | % of Total Issued Capital |
|     |   | 900000   | 100                       |
| 11. | Listed capital ( exchange wise) ( as per company records )            | No. of shares  | % of Total Issued Capital |
|     | Bombay Stock Exchange Ltd   | 900000   | 100                       |
| 12. | Held in dematerialized form in CDSL                                   | No. of shares  | % of Total Issued Capital |
|     |   | 453850   | 50.43                     |
| 13. | Held in dematerialized form in NSDL                                   | No. of shares  | % of Total Issued Capital |
|     |   | 396760   | 44.08                     |



|     |          |               |                           |
|-----|----------|---------------|---------------------------|
| 14. | Physical | No. of shares | % of Total Issued Capital |
|     |          | 49390         | 5.49                      |

15. Total no. of shares ( 12 + 13 +14) 900000 shares

16. Reasons for difference if any between ( 10 & 11) ( 10 & 15) ( 11 & 15) NA


17. Certifying the details of changes in share capital during the quarter under consideration as per table below:

| Particulars | No. of Shares  | Applied/ Not Applied for Listing | Listed on Stock Exchanges (Specify Names)  | Whether intimated to CDSL | Whether intimated to NDSL | In-prin appr. Pending for SE (Specify Names) |
|-------------|--|----------------------------------|--|---------------------------|---------------------------|--|
| Nil         | Nil  | Nil                              | Nil  | Nil                       | Nil                       | Nil  |
| 18.         | Register of Members is updated? If not, updated to which date  |                                  | Yes  |                           |                           |  |
| 19          | Reference of previous quarter with regards to excess dematerialized shares, if any.  |                                  | Nil  |                           |                           |  |
| 20          | Has the Company resolved the matter mentioned in point No. 19 above in the current quarter? If not, reason why?  |                                  | Yes  |                           |                           |  |
| 21          | Mention the total number of requests, if any, confirmed after 21 days and the total no. of requests pending beyond 21 days with the reasons for delay. |                                  | Nil  |                           |                           |  |
| 22          | Name, Telephone & Fax No. of the Compliance Officer of the Company   |                                  | Ms. Sapna Patel<br>Company Secretary<br>Tel. No. 022-42877800<br>Fax No. 022-42877890  |                           |                           |  |
| 23          | Name, Address Tel & Fax. No. Registration No of the Auditor.   |                                  | Veeraraghavan.N<br>First Maritime Private Limited<br>201 , Gheewala Building<br>Opp. New India Co-op Bank Ltd<br>M P Road, Mulund East,<br>Mumbai 400081.<br>Tel.No.:2563 2653<br>C.P.No. 4334 |                           |                           |  |



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|----|--|--|
| 24 | Appointment of Common Agency for share registry work.<br>If yes Name and address | Link Intime India Private Limited<br>C-101, 247 Park, L B S Marg,<br>Vikhroli (West),<br>Mumbai 400 083<br>Tel. No. 022-4918 6000<br>Fax No. 022-4918 6060<br>Email: <a href="mailto:mumbai@linkintime.co.in">mumbai@linkintime.co.in</a><br>Website: <a href="http://www.linkintime.co.in">www.linkintime.co.in</a> |
| 25 | Any other detail that the auditor may like to provide.                           | Nil  |

Date: 24<sup>th</sup> April, 2017  
Place: Mumbai

  
Veeraraghavan N.  
C.P. No. 4334

